Characterization of the critical magnetic field in the Dirac-Coulomb equation

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Abstract. We consider a relativistic hydrogenic atom in a strong magnetic field. The ground state level depends on the strength of the magnetic field and reaches the lower end of the spectral gap of the Dirac-Coulomb operator for a certain critical value, the *critical magnetic field.* We also define a critical magnetic field in a Landau level ansatz.

In both cases, when the charge Z of the nucleus is not too small, these critical magnetic fields are huge when measured in Tesla, but not so big when the equation is written in dimensionless form. When computed in the Landau level ansatz, orders of magnitude of the critical field are correct, as well as the dependence in Z. The computed value is however significantly too big for a large Z, and the wave function is not well approximated. Hence, accurate numerical computations involving the Dirac equation cannot systematically rely on the Landau level ansatz.

Our approach is based on a scaling property. The critical magnetic field is characterized in terms of an equivalent eigenvalue problem. This is our main analytical result, and also the starting point of our numerical scheme.

PACS numbers: 31.30.J

Keywords: Relativistic quantum mechanics, ground state, min-max levels, magnetic field, Dirac equation, Dirac-Coulomb Hamiltonian, relativistic hydrogen atom, pair creation, Landau levels

1. Introduction

It is widely accepted in physics that eigenstates in strong magnetic fields can be well approximated in a Landau level ansatz. In this paper, we investigate the validity of such an ansatz in the case of the magnetic Dirac-Coulomb equation for large magnetic fields. More specifically, we study the *critical threshold* for the magnetic field defined as the smallest value of the magnetic field for which the lowest eigenvalue (the ground state) in the gap of the Dirac operator reaches its lower end. After a reformulation in terms of an equivalent minimization problem, we compare the values of the critical magnetic field with and without ansatz, both from an analytical and a numerical point of view.

Our main theorem is stated in Section 2. It characterizes the critical magnetic field as a function of the lowest energy for an explicit eigenvalue problem. Section 3 will be devoted to its proof. A Landau level ansatz is then defined in Section 4 and some comparison results for the critical magnetic field, with and without ansatz, are given there. A numerical method based on Theorem 1 has been implemented in both cases. Results are given in Section 5 and compared with earlier works, see (Schlüter et al. 1985). They show that for large coupling constants (in the electrostatic field), the critical threshold is well below the critical threshold in the Landau ansatz.

Critical magnetic fields are huge and can eventually be encountered only in some extreme situations like *magnetars*, which are neutron stars with intense magnetic fields, see (Kouveliotou et al. 1999). This is the only known domain of physics for which our computations might eventually be relevant, see (Dolbeault et al. 2007) for a discussion. In the Dirac-Coulomb model, the value of the critical magnetic field only provides an order of magnitude of the field strength for which pair creation could eventually occur. Such a phenomenon should of course be studied in a full QED framework and the computations done using the Dirac-Coulomb operator are only an indication on the scales that should be taken into account. See (Pickl 2005) for more details. For a review on large magnetic fields in physics, see (Duncan 2000).

2. Main results

The magnetic Dirac operator with Coulomb potential $\nu/|x|$ can be written as :

$$H_B := \begin{pmatrix} \mathbb{I} - \nu/|x| & -i\,\sigma\cdot(\nabla - i\,\mathrm{A}) \\ -i\,\sigma\cdot(\nabla - i\,\mathrm{A}) & -\mathbb{I} - \nu/|x| \end{pmatrix} \tag{1}$$

where A is a magnetic potential corresponding to B, and $\mathbb{I}$ and σ_k are respectively the identity and the Pauli matrices

$$\mathbb{I} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},\ \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},\ \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix},\ \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \tag{2}$$

Let $\mathrm{B} = (0,0,B)$ be a constant magnetic field and A_B the associated magnetic potential. For any $x = (x_1, x_2, x_3) \in \mathbb{R}^3$, define

$$P_B := -\,i\,\sigma\cdot(\nabla - i\,\mathrm{A}_B(x))\;,\quad \mathrm{A}_B(x) := \frac{B}{2}\begin{pmatrix} -x_2 \\ x_1 \\ 0 \end{pmatrix}\;, \tag{3}$$

and consider the functional

$$J[\phi,\lambda,\nu,B] := \int_{\mathbb{R}^3}\left(\frac{|P_B\phi|^2}{1+\lambda+\frac{\nu}{|x|}} + (1-\lambda)\,|\phi|^2 - \frac{\nu}{|x|}\,|\phi|^2\right) d^3x \tag{4}$$

on the set of admissible functions $\mathcal{A}(\nu,B) := \{\phi \in C_0^\infty(\mathbb{R}^3)\ :\ \|\phi\|^2_{L^2(\mathbb{R}^3)} = 1\,,\ \lambda \mapsto J[\phi,\lambda,\nu,B]$ *changes sign in* $(-1,+\infty)\}$. The essential spectrum of H_B is $\mathbb{R}\setminus(-1,1)$. By (Dolbeault et al. 2007, Theorem 1), the smallest eigenvalue in $(-1,1)$ of H_B is

$$\lambda_1(\nu,B) := \inf_{\phi\in\mathcal{A}(\nu,B)} \lambda[\phi,\nu,B] \tag{5}$$

where $\lambda = \lambda[\phi,\nu,B]$ is either the unique solution to $J[\phi,\lambda,\nu,B] = 0$ if $\phi \in \mathcal{A}(\nu,B)$, or $\lambda[\phi,\nu,B] = -1$ if $J[\phi,-1,\nu,B] \le 0$. Also see (Dolbeault et al. 2007) for the relation of the two-components spinors in $\mathcal{A}(\nu,B)$ with the four-components spinors and the action of H_B on them.

The *critical magnetic field* is defined by

$$B(\nu) := \inf\left\{B>0\ :\ \liminf_{b\nearrow B}\lambda_1(\nu,b) = -1\right\}\;. \tag{6}$$

Define the auxiliary functional

$$\mathcal{E}_{B,\nu}[\phi] := \int_{\mathbb{R}^3}\frac{|x|}{\nu}\,|P_B\,\phi|^2\,d^3x - \int_{\mathbb{R}^3}\frac{\nu}{|x|}\,|\phi|^2\,d^3x\;, \tag{7}$$

that is $\mathcal{E}_{B,\nu}[\phi] + 2\,\|\phi\|^2_{L^2(\mathbb{R}^3)} = J[\phi,-1,\nu,B]$. The scaling $\phi_B := B^{3/4}\,\phi\left(B^{1/2}\,x\right)$ preserves the L^2 norm, and yields

$$\mathcal{E}_{B,\nu}[\phi_B] = \sqrt{B}\,\mathcal{E}_{1,\nu}[\phi]\;. \tag{8}$$

We define

$$\mu(\nu) := \inf_{0\not\equiv\phi\in C_0^\infty(\mathbb{R}^3)}\frac{\mathcal{E}_{1,\nu}[\phi]}{\|\phi\|^2_{L^2(\mathbb{R}^3)}}\;. \tag{9}$$

Recall that $\lambda_1(\nu,B)$ is characterized as an eigenvalue of H_B only as long as it takes values in $(-1,1)$. If we could take the limit $B \to B(\nu)$, we would formally get that $-1 = \lambda_1(\nu,B(\nu))$, which, still formally, amounts to $\inf_{0\not\equiv\phi\in C_0^\infty(\mathbb{R}^3)} J[\phi,-1,\nu,B] = 0$. It is therefore natural to expect that $\sqrt{B(\nu)}\,\mu(\nu) + 2 = 0$. Proving this is the purpose of our main result.

Theorem 1 *For all* $\nu \in (0,1)$, $\mu(\nu)$ *is negative, finite,*

$$B(\nu) = \frac{4}{\mu(\nu)^2} \tag{10}$$

and $B(\nu)$ *is a continuous, monotone decreasing function of* ν *on* $(0,1)$.

3. Proofs

3.1. Preliminary results

Lemma 2 *On the interval* $(0,1)$*, the function* $\nu \mapsto \mu(\nu)$ *is continuous, monotone decreasing and takes only negative real values.*

Proof. The monotonicity of the function $\mu(\nu)$ is a consequence of its definition. On the other hand, the functional

$$(\nu,\phi) \mapsto \nu\,\mathcal{E}_{1,\nu}[\phi] = \int_{\mathbb{R}^3} |x|\,|P_1\,\phi|^2\,d^3x - \int_{\mathbb{R}^3} \frac{\nu^2}{|x|}\,|\phi|^2\,d^3x \tag{11}$$

is a concave, bounded function of $\nu \in (0,1)$, for any $\phi \in C_0^\infty(\mathbb{R}^3)$, and so is its infimum with respect to ϕ. A bounded concave function is continuous.

Next, consider the function

$$\phi(x) := \sqrt{\frac{B}{2\,\pi}}\,e^{-\frac{B}{4}(|x_1|^2+|x_2|^2)}\begin{pmatrix} f(x_3) \\ 0 \end{pmatrix} \quad \forall\, x=(x_1,x_2,x_3)\in\mathbb{R}^3 \tag{12}$$

for some $f \in C_0^\infty(\mathbb{R},\mathbb{R})$ such that $f \equiv 1$ for $|x| \le \delta$, $\delta > 0$, and $\|f\|_{L^2(\mathbb{R}^+)} = 1$. Note that $\phi \in \mathrm{Ker}(P_B + i\,\sigma_3\,\partial_{x_3})$ and so, $P_B\phi = -i\,\sigma_3\,\partial_{x_3}\phi$. Moreover, the function ϕ is normalized in $L^2(\mathbb{R}^3)$. Same computations as in (Dolbeault et al. 2007, proof of Proposition 6) show that

$$\mathcal{E}_{B,\nu}[\phi] \le \frac{C_1}{\nu} + C_2\,\nu - C_3\,\nu\log B\ , \tag{13}$$

where C_i, $i = 1, 2, 3$, are positive constants which depend only on f. For $B \ge 1$ large enough, $\mathcal{E}_{B,\nu}[\phi] < 0$. With $\phi_{1/B}(x) = B^{-3/4}\,\phi\left(B^{-1/2}\,x\right)$, $\mathcal{E}_{1,\nu}[\phi_{1/B}] = B^{-1/2}\,\mathcal{E}_{B,\nu}[\phi] < 0$ by (8). This proves that $\mu(\nu)$ is negative. □

Lemma 3 *For any* $a,\,b \in \mathbb{R}^d$ *and any* $\varepsilon > 0$*,*

$$|a|^2 \ge \frac{|a+b|^2}{1+\varepsilon} - \frac{|b|^2}{\varepsilon}\ . \tag{14}$$

Proof. A simple computation shows that

$$\varepsilon\,(1+\varepsilon)\left[|a|^2 - \frac{|a+b|^2}{1+\varepsilon} + \frac{|b|^2}{\varepsilon}\right] = (\varepsilon\,a - b)^2 \ge 0\ . \tag{15}$$

□

3.2. Proof of Theorem 1

Let us first prove that $B(\nu) \le \tilde{B}(\nu) := 4/\mu(\nu)^2$. By definition of $\mu(\nu)$ and (8),

$$\tilde{B}(\nu) = \sup\left\{B > 0\ :\ \inf_\phi\left(\mathcal{E}_{B,\nu}[\phi] + 2\,\|\phi\|^2_{L^2(\mathbb{R}^3)}\right) \ge 0\right\}\ . \tag{16}$$

By definition of $B(\nu)$, $B < B(\nu)$ means that $\lambda_1(\nu,B) > -1$. Since $\lambda_1(\nu,B)$ is the infimum of $\lambda[\phi,\nu,B]$ with respect to $\phi \in C_0^\infty(\mathbb{R}^3)$ and $J[\phi,\lambda,\nu,B]$ is decreasing in λ,

$$\mathcal{E}_{B,\nu}[\phi] + 2\,\|\phi\|^2_{L^2(\mathbb{R}^3)} \ge J[\phi,\lambda_1(\nu,B),\nu,B] \ge J[\phi,\lambda[\phi,\nu,B],\nu,B] = 0 \tag{17}$$

for all ϕ, so that $B \le \tilde{B}(\nu)$. This proves that $B(\nu) \le \tilde{B}(\nu)$.

To prove the opposite inequality, let $B = B(\nu)$ and consider a sequence $(\nu_n)_{n\in\mathbb{N}}$ such that $\nu_n \in (0,\nu)$, $\lim_{n\to\infty} \nu_n = \nu$, $\lambda^n := \lambda_1(\nu_n, B) > -1$ and $\lim_{n\to\infty} \lambda^n = -1$. Let ϕ_n be the optimal function associated to λ^n: $J[\phi_n, \lambda^n, \nu_n, B] = 0$.

We define a sequence of truncation functions $(\chi_n)_{n\in\mathbb{N}}$ as follows. Consider first a nonnegative smooth radial function χ on $\mathbb{R}^+$ such that $\chi \equiv 1$ on $[0,1]$, $0 \le \chi \le 1$ and $\chi \equiv 0$ on $[2,\infty)$. Then we set $\chi_n(x) := \chi(|x|/R_n)$ for some increasing sequence $(R_n)_{n\in\mathbb{N}}$ such that $\lim_{n\to\infty} R_n = \infty$. By applying Lemma 3 to

$$P_B\,\phi_n = \underbrace{(P_B\,\tilde{\phi}_n)\,\chi_n}_{=a} + \underbrace{[\,-\,(P_0\,\chi_n)\,\phi_n]}_{=b}\,, \tag{18}$$

where $\tilde{\phi}_n := \phi_n\,\chi_n$, we get

$$|P_B\,\phi_n|^2 \ge \frac{|(P_B\,\tilde{\phi}_n)\,\chi_n|^2}{1+\varepsilon_n} - \frac{|(P_0\,\chi_n)\,\phi_n|^2}{\varepsilon_n}\,, \tag{19}$$

for some sequence $(\varepsilon_n)_{n\in\mathbb{N}}$ of positive numbers, to be fixed. Hence, using the fact that $0 \le \chi_n^2 \le 1$, we get

$$\begin{aligned}&\int_{\mathbb{R}^3} \frac{|P_B\,\phi_n|^2}{1+\lambda^n+\frac{\nu_n}{|x|}}\,d^3x\\ &\ge \frac{1}{1+\varepsilon_n}\int_{\mathbb{R}^3} \frac{|P_B\,\tilde{\phi}_n|^2}{1+\lambda^n+\frac{\nu_n}{|x|}}\,d^3x - \frac{1}{\varepsilon_n}\int_{\mathbb{R}^3} \frac{|(P_0\,\chi_n)\,\phi_n|^2}{1+\lambda^n+\frac{\nu_n}{|x|}}\,d^3x\,.\end{aligned} \tag{20}$$

The function $\tilde{\phi}_n$ is supported in the ball $B(0,2\,R_n)$: with $\mu_n := (1+\varepsilon_n)[2(1+\lambda^n)R_n+\nu_n]$,

$$\frac{1}{1+\varepsilon_n}\int_{\mathbb{R}^3} \frac{|P_B\,\tilde{\phi}_n|^2}{1+\lambda^n+\frac{\nu_n}{|x|}}\,d^3x \ge \frac{1}{\mu_n}\int_{\mathbb{R}^3} |x|\,|P_B\,\tilde{\phi}_n|^2\,d^3x\,. \tag{21}$$

We choose ε_n and R_n such that

$$\lim_{n\to\infty} \varepsilon_n = 0\,, \quad \lim_{n\to\infty} R_n = \infty \quad \text{and} \quad \lim_{n\to\infty} (1+\lambda^n)\,R_n = 0\,, \tag{22}$$

so that

$$\lim_{n\to\infty} \mu_n = \nu\,. \tag{23}$$

The function $(P_0\,\chi_n)$ is supported in $B(0,2\,R_n) \setminus B(0,R_n)$: there exists constant κ depending on $\|\chi'\|_{L^\infty(1,2)}$ such that $|P_0\,\chi_n|^2 \le \kappa\,R_n^{-2}$ and as a consequence,

$$\frac{1}{\varepsilon_n}\int_{\mathbb{R}^3} \frac{|(P_0\,\chi_n)\,\phi_n|^2}{1+\lambda^n+\frac{\nu_n}{|x|}}\,d^3x \le \frac{\kappa}{\varepsilon_n\,R_n[(1+\lambda^n)\,R_n+\frac{\nu_n}{2}]}\int_{\mathbb{R}^3} |\phi_n|^2\,d^3x\,. \tag{24}$$

Moreover,

$$\nu_n\int_{\mathbb{R}^3} \frac{|\phi_n|^2}{|x|}\,d^3x - \nu_n\int_{\mathbb{R}^3} \frac{|\tilde{\phi}_n|^2}{|x|}\,d^3x \le \frac{\nu_n}{R_n}\int_{\mathbb{R}^3} |\phi_n|^2\,d^3x\,. \tag{25}$$

Thus, with $\eta_n := \kappa/\big(\varepsilon_n\,R_n\,\big((1+\lambda^n)\,R_n+\frac{\nu_n}{2}\big)\big)+\nu_n/R_n$, we can write

$$\begin{aligned}0 = J[\phi_n,\lambda^n,\nu_n,B] \ge \frac{1}{\mu_n}\int_{\mathbb{R}^3} |x|\,|P_B\,\tilde{\phi}_n|^2\,d^3x - \nu_n\int_{\mathbb{R}^3} \frac{|\tilde{\phi}_n|^2}{|x|}\,d^3x&\\ +\,(1-\lambda^n-\eta_n)\int_{\mathbb{R}^3} |\phi_n|^2\,d^3x\,.&\end{aligned} \tag{26}$$

Assume further that

$$\lim_{n\to\infty} \varepsilon_n\, R_n = \infty\ , \tag{27}$$

so that $1-\lambda^n \geq \eta_n \to 0$ as $n\to\infty$. Using again the fact that $0 \leq \chi_n^2 \leq 1$, we get

$$\begin{aligned} 0 = J[\phi_n, \lambda^n, \nu_n, B] \geq \frac{1}{\mu_n}\int_{\mathbb{R}^3} |x|\, |P_B\, \tilde\phi_n|^2\, d^3x - \nu_n \int_{\mathbb{R}^3} \frac{|\tilde\phi_n|^2}{|x|}\, d^3x \\ + (1-\lambda^n-\eta_n)\int_{\mathbb{R}^3} |\tilde\phi_n|^2\, d^3x\ . \end{aligned} \tag{28}$$

Let $\tilde\nu_n = \sqrt{\mu_n\,\nu_n}$. We have obtained

$$\begin{aligned} \frac{1}{\tilde\nu_n}\int_{\mathbb{R}^3} |x|\, |P_B\, \tilde\phi_n|^2\, d^3x - \tilde\nu_n \int_{\mathbb{R}^3} \frac{|\tilde\phi_n|^2}{|x|}\, d^3x + 2\int_{\mathbb{R}^3} |\tilde\phi_n|^2\, d^3x \\ \leq \left[2 - \sqrt{\frac{\mu_n}{\nu_n}}\,(1-\lambda^n-\eta_n)\right]\int_{\mathbb{R}^3} |\tilde\phi_n|^2\, d^3x\ . \end{aligned} \tag{29}$$

The left hand side is a decreasing function of $\tilde\nu_n$. Since $\lim_{n\to\infty}\tilde\nu_n = \nu$, for any $\nu' > \nu$, for n large enough,

$$\begin{aligned} \frac{1}{\nu'}\int_{\mathbb{R}^3} |x|\, |P_B\, \tilde\phi_n|^2\, d^3x - \nu' \int_{\mathbb{R}^3} \frac{|\tilde\phi_n|^2}{|x|}\, d^3x + 2\int_{\mathbb{R}^3} |\tilde\phi_n|^2\, d^3x \\ \leq \left[2 - \sqrt{\frac{\mu_n}{\nu_n}}\,(1-\lambda^n-\eta_n)\right]\int_{\mathbb{R}^3} |\tilde\phi_n|^2\, d^3x\ . \end{aligned} \tag{30}$$

We observe that by construction $\tilde\phi_n$ is non trivial. By homogeneity, one can even assume that $\|\tilde\phi_n\|_{L^2(\mathbb{R}^3)} = 1$. Since $\lim_{n\to\infty}\sqrt{\frac{\mu_n}{\nu_n}}\,(1-\lambda^n-\eta_n) = 2$,

$$\tilde B(\nu') \leq B = B(\nu) \quad \forall\ \nu' > \nu\ . \tag{31}$$

By Lemma 2, $\nu' \mapsto \tilde B(\nu')$ is continuous. This proves that $\tilde B(\nu) \leq B(\nu)$. ☐

4. A Landau level ansatz

In analogy with what is done in nonrelativistic quantum mechanics, we denote by *first Landau level* for a constant magnetic field of strength B, see (Dolbeault et al. 2007), the space of all functions ϕ which are linear combinations of the functions

$$\phi_\ell := \frac{B}{\sqrt{2\,\pi\,2^\ell\,\ell!}}\,(x_2 + i\,x_1)^\ell\, e^{-B\,s^2/4}\begin{pmatrix}1\\0\end{pmatrix}, \quad \ell\in\mathbb{N}\,, \quad s^2 = x_1^2 + x_2^2\ , \tag{32}$$

where the coefficients depend only on x_3, *i.e.*,

$$\phi(x) = \sum_\ell f_\ell(x_3)\,\phi_\ell(x_1,x_2)\ . \tag{33}$$

In this section, we shall restrict the functional $\mathcal{E}_{B,\nu}$ to the first Landau level. In this framework, that we shall call the *Landau level ansatz,* we also define a critical field by

$$B_{\mathcal{L}}(\nu) := \inf\left\{B > 0\ :\ \liminf_{b\nearrow B}\lambda_1^{\mathcal{L}}(\nu, b) = -1\right\}\,, \tag{34}$$

where

$$\lambda_1^{\mathcal{L}}(\nu, B) := \inf_{\phi\in\mathcal{A}(\nu,B)\,,\,\Pi^\perp\phi=0} \lambda[\phi,\nu,B]\ . \tag{35}$$

Here Π is the projection of ϕ onto the first Landau level, and $\Pi^\perp := \mathbb{I} - \Pi$.

One can prove in the Landau level ansatz a result which is the exact counterpart of Theorem 1. For any $\nu \in (0,1)$, if

$$\mu_{\mathcal{L}}(\nu) := \inf_{\phi\in\mathcal{A}(\nu,B)\,,\,\Pi^\perp\phi=0} \mathcal{E}_{1,\nu}[\phi]\ , \tag{36}$$

then

$$B_{\mathcal{L}}(\nu) = \frac{4}{\mu_{\mathcal{L}}(\nu)^2}\ . \tag{37}$$

The goal of this section is to compare $\mu_{\mathcal{L}}(\nu)$ with $\mu(\nu)$ given by (9). By definition of these quantities, we have

$$\mu(\nu) \le \mu_{\mathcal{L}}(\nu)\ . \tag{38}$$

With the notation $s = \sqrt{x_1^2 + x_2^2}$ and $z = x_3$, if ϕ is in the first Landau level, then

$$\mathcal{E}_{1,\nu}[\phi] = \sum_\ell \frac{1}{\nu}\int_0^\infty b_\ell\, f_\ell'^2\, dz - \nu \int_0^\infty a_\ell\, f_\ell^2\, dz\ , \tag{39}$$

where

$$a_\ell(z) := \left(\phi_\ell, \frac{1}{r}\,\phi_\ell\right)_{L^2(\mathbb{R}^2,\mathbb{C}^2)} = \frac{1}{2^\ell\,\ell!}\int_0^{+\infty} \frac{s^{2\ell+1}\, e^{-s^2/2}}{\sqrt{s^2+z^2}}\, ds \tag{40}$$

and

$$b_\ell(z) := (\phi_\ell, r\,\phi_\ell)_{L^2(\mathbb{R}^2,\mathbb{C}^2)} = \frac{1}{2^\ell\,\ell!}\int_0^{+\infty} s^{2\ell+1}\, e^{-s^2/2}\sqrt{s^2+z^2}\, ds\ . \tag{41}$$

A simple integration by parts shows that $(\phi_\ell, F(r)\,\phi_\ell)_{L^2(\mathbb{R}^2,\mathbb{C}^2)}$ is increasing (resp. decreasing) in ℓ whenever $F(r)$ is increasing (resp. decreasing). Since a_ℓ and b_ℓ only depend on $|z|$, $\mu_{\mathcal{L}}(\nu)$ is also achieved by functions which only depend on $|z|$ as well. It follows that

$$\sum_\ell \left(\frac{1}{\nu}\int_0^\infty b_\ell\, f_\ell'^2\, dz - \nu\int_0^\infty a_\ell\, f_\ell^2\, dz\right) \ge \frac{1}{\nu}\int_0^\infty b_0 \left(\sum_\ell f_\ell'^2\right) dz - \nu\int_0^\infty a_0 \left(\sum_\ell f_\ell^2\right) dz\ . \tag{42}$$

Using the inequality

$$\left|\frac{d}{dz}\sqrt{\textstyle\sum_\ell f_\ell^2}\right|^2 \le \sum_\ell \left|\frac{d}{dz} f_\ell\right|^2, \tag{43}$$

we find that

$$\mathcal{E}_{1,\nu}[\phi] \ge \frac{1}{\nu}\int_0^\infty b_0\,|f'|^2\, dz - \nu\int_0^\infty a_0\, f^2\, dz\ , \tag{44}$$

with $f := \sqrt{\sum_\ell f_\ell^2}$. In other words, for our minimization purpose, it is sufficient to consider functions of the form

$$\phi(x) = f(z)\,\frac{e^{-s^2/4}}{\sqrt{2\,\pi}}\begin{pmatrix}1\\0\end{pmatrix}. \tag{45}$$

We observe that

$$\frac{1}{2}\int_{\mathbb{R}^3}|\phi|^2\,d^3\vec{x} = \int_0^\infty f^2\,dz \tag{46}$$

and

$$\frac{1}{2}\,\mathcal{E}_{1,\nu}[\phi] = \frac{1}{\nu}\int_0^\infty b\,f'^2\,dz - \nu\int_0^\infty a\,f^2\,dz := \mathcal{L}_\nu[f]\;, \tag{47}$$

with $a = a_0$, $b = b_0$, *i.e.*,

$$b(z) = \int_0^\infty \sqrt{s^2+z^2}\,s\,e^{-s^2/2}\,ds \quad\text{and}\quad a(z) = \int_0^\infty \frac{s\,e^{-s^2/2}}{\sqrt{s^2+z^2}}\,ds\;. \tag{48}$$

The minimization problem in the Landau level ansatz is now reduced to

$$\mu_{\mathcal{L}}(\nu) = \inf_f \frac{\mathcal{L}_\nu[f]}{\|f\|^2_{L^2(\mathbb{R}^+)}}\;. \tag{49}$$

It is a non trivial problem to estimate how close $\mu(\nu)$ and $\mu_{\mathcal{L}}(\nu)$ are. Let

$$\mathcal{L}^-_\nu[f] := \frac{1}{\nu}\int_0^\infty \frac{1}{a}\,f'^2\,dz - \nu\int_0^\infty a\,f^2\,dz \tag{50}$$

and

$$\mathcal{L}^+_\nu[f] := \frac{1}{\nu}\int_0^\infty b\,f'^2\,dz - \nu\int_0^\infty \frac{1}{b}\,f^2\,dz\;, \tag{51}$$

with corresponding infima $\mu^-_{\mathcal{L}}(\nu)$ and $\mu^+_{\mathcal{L}}(\nu)$.

Lemma 4 *For any $\nu \in (0,1)$,*

$$\mu^-_{\mathcal{L}}(\nu) \le \mu_{\mathcal{L}}(\nu) \le \mu^+_{\mathcal{L}}(\nu)\;. \tag{52}$$

Proof. This follows from

$$b(z) \ge \frac{1}{a(z)} \tag{53}$$

which in turn follows from Jensen's inequality, noting that $s\,e^{-s^2/2}\,ds/\,2\,\pi$ is a probability measure. □

In (Dolbeault et al. 2007) it was proved that

$$\log|\mu^-_{\mathcal{L}}(\nu)| \approx -\frac{\pi}{2\,\nu} \tag{54}$$

as $\nu \to 0_+$. The methods in (Dolbeault et al. 2007) can be adapted to show that

$$\log|\mu^+_{\mathcal{L}}(\nu)| \approx -\frac{\pi}{2\,\nu} \tag{55}$$

as well, thus proving the following result.

Lemma 5 *With the above notations,* $\lim_{\nu\to 0_+}\nu\,\log|\mu_{\mathcal{L}}(\nu)| = -\dfrac{\pi}{2}$.

As $\nu \to 0_+$, this provides us with the leading asymptotics of the critical magnetic fields.

Theorem 6 *With the above notations,* $\displaystyle\lim_{\nu\to 0_+} \frac{\log B(\nu)}{\log B_{\mathcal{L}}(\nu)} = 1$.

Remark. *This result does not prove that* $\frac{B(\nu)}{B_{\mathcal{L}}(\nu)}$ *converges to some finite limit as* $\nu \to 0_+$.

Proof. By (38), we already know that $\mu(\nu) \le \mu_{\mathcal{L}}(\nu)$. To prove our result, we need an estimate of $\mu(\nu)$ from below. Since for ν small, $B(\nu)$ is very large according to (Dolbeault et al. 2007, Corollary 11), we can assume that $B(\nu) > 1$ for any $\nu \in (0, \bar\nu)$ for some $\bar\nu > 0$, so that, if $\nu \in (0, \bar\nu)$, then $\lambda_1(\nu, 1) > -1$ and therefore, for all ϕ,

$$\mathcal{E}_{1,\nu}[\phi] \ge \mathcal{F}_\nu[\phi] := \int_{\mathbb{R}^3} \frac{|\sigma \cdot \nabla_1 \phi|^2}{\lambda_1(\nu,1) + 1 + \frac{\nu}{|x|}}\, d^3x - \int_{\mathbb{R}^3} \frac{\nu}{|x|}\, |\phi|^2\, d^3x\ . \tag{56}$$

For completeness, we sketch the main steps of the proof, which is similar to the one given in (Dolbeault et al. 2007). Since

$$\mathcal{G}_\nu \begin{pmatrix} \phi \\ \chi \end{pmatrix} := \left(H_B \begin{pmatrix} \phi \\ \chi \end{pmatrix}, \begin{pmatrix} \phi \\ \chi \end{pmatrix} \right) \tag{57}$$

is concave in χ,

$$1 + \mathcal{F}_\nu[\phi] = \sup_\chi \frac{\mathcal{G}_\nu \binom{\phi}{\chi}}{\|\phi\|^2_{L^2(\mathbb{R}^3)} + \|\chi\|^2_{L^2(\mathbb{R}^3)}}\ . \tag{58}$$

Obviously,

$$\sup_\chi \frac{\mathcal{G}_\nu \binom{\phi}{\chi}}{\|\phi\|_{L^2(\mathbb{R}^3)} + \|\chi\|_{L^2(\mathbb{R}^3)}} \ge \sup_{\Pi^\perp \chi = 0} \frac{\mathcal{G}_\nu \binom{\phi}{\chi}}{\|\phi\|_{L^2(\mathbb{R}^3)} + \|\chi\|_{L^2(\mathbb{R}^3)}}\ . \tag{59}$$

This, by (Dolbeault et al. 2007, Proposition 14), is bounded below by

$$\sup_\chi \frac{\mathcal{G}_{\nu+\nu^{3/2}} \binom{\Pi\phi}{\Pi\chi} + \mathcal{G}_{\nu+\sqrt{\nu}} \binom{\Pi^\perp\phi}{0}}{\|\Pi\phi\|_{L^2(\mathbb{R}^3)} + \|\Pi^\perp\phi\|_{L^2(\mathbb{R}^3)} + \|\Pi\chi\|_{L^2(\mathbb{R}^3)}} \tag{60}$$

Moreover, by (Dolbeault et al. 2007, Proposition 15), for ν small enough, this is bounded below by

$$\sup_\chi \frac{\mathcal{G}_{\nu+\nu^{3/2}} \binom{\Pi\phi}{\Pi\chi} + d(\nu)\, \|\Pi^\perp\phi\|^2_{L^2(\mathbb{R}^3)}}{\|\Pi\phi\|_{L^2(\mathbb{R}^3)} + \|\Pi^\perp\phi\|_{L^2(\mathbb{R}^3)} + \|\Pi\chi\|_{L^2(\mathbb{R}^3)}}\,, \tag{61}$$

where $d(\cdot)$ is a continuous function such that $d(0) = \sqrt{2}$. The inequality that leads to (61) displays the fact that being perpendicular to the lowest Landau level raises the energy.

Again by concavity, for all ϕ, there is a unique χ realizing

$$\sup_\chi \frac{\mathcal{G}_{\nu+\nu^{3/2}} \binom{\Pi\phi}{\Pi\chi}}{\|\Pi\phi\|_{L^2(\mathbb{R}^3)} + \|\Pi\chi\|_{L^2(\mathbb{R}^3)}} =: \lambda^-_{\nu+\nu^{3/2}}[\phi]\ . \tag{62}$$

Since $\lambda^-_{\nu+\nu^{3/2}}[\phi] < 1 < \sqrt{2}$, we finally have

$$1 + \mathcal{F}_\nu[\phi] \ge \lambda^-_{\nu+\nu^{3/2}}[\phi]\ . \tag{63}$$